

COPY

PTO/SB/01 (6-95) (modified)  
 Approved for use through 10/31/96  
 OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|                                                                                                                                                                                      |                        |                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|
| 0010/PTO<br>Rev. 6/95<br><br>U.S. Department of Commerce<br>Patent and Trademark Office<br><br><b>DECLARATION FOR<br/>         UTILITY OR DESIGN<br/>         PATENT APPLICATION</b> | Attorney Docket Number | 3683              |
|                                                                                                                                                                                      | First Named Inventor   | Albert K. Chin    |
|                                                                                                                                                                                      | COMPLETE IF KNOWN      |                   |
|                                                                                                                                                                                      | Application Number     | Not Yet Known     |
|                                                                                                                                                                                      | Filing Date            | November 25, 1998 |
|                                                                                                                                                                                      | Group Art Unit         | Not Yet Known     |
|                                                                                                                                                                                      | Examiner Name          | Not Yet Known     |
| <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing                            |                        |                   |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVICE AND METHOD FOR REMOTE VESSEL LIGATION

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Priority                 | Certified Copy Attached? |                          |
|----------------------------------------|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
|                                        |         |                                     | Not Claimed              | YES                      | NO                       |
|                                        |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                        |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                        |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                        |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                        |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |                                                                                                                         |
|-----------------------|--------------------------|-------------------------------------------------------------------------------------------------------------------------|
|                       |                          | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto. |

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|                    |               |
|--------------------|---------------|
| <b>DECLARATION</b> | <b>Page 2</b> |
|--------------------|---------------|

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application Number | PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--------------------------------|-------------------|---------------------------------|--------------------------------------|
| 09/102,723                     |                   | June 22, 1998                   |                                      |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Name                           | Registration Number | Name | Registration Number |
|--------------------------------|---------------------|------|---------------------|
| Albert C. Smith<br>Dana S. Rao | 20,355<br>P-43,875  |      |                     |

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:

Dana S. Rao  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
U.S.A.

Telephone (650) 858-7816

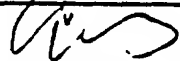
Fax (650) 494-1417

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Additional Joint Inventor, if any:**

☐ A petition has been filed for this unsigned inventor

|            |        |                |    |             |      |                 |  |
|------------|--------|----------------|----|-------------|------|-----------------|--|
| Given Name | Albert | Middle Initial | K. | Family Name | Chin | Suffix e.g. Jr. |  |
|------------|--------|----------------|----|-------------|------|-----------------|--|

|                      |                                                                                     |      |          |
|----------------------|-------------------------------------------------------------------------------------|------|----------|
| Inventor's Signature |  | Date | 11-23-98 |
|----------------------|-------------------------------------------------------------------------------------|------|----------|

|                 |           |       |    |         |        |             |        |
|-----------------|-----------|-------|----|---------|--------|-------------|--------|
| Residence: City | Palo Alto | State | CA | Country | U.S.A. | Citizenship | U.S.A. |
|-----------------|-----------|-------|----|---------|--------|-------------|--------|

|                 |  |
|-----------------|--|
| Mailing Address |  |
|-----------------|--|

|                 |                  |
|-----------------|------------------|
| Mailing Address | 2021 Newell Road |
|-----------------|------------------|

|      |           |       |    |     |       |         |        |
|------|-----------|-------|----|-----|-------|---------|--------|
| City | Palo Alto | State | CA | Zip | 94303 | Country | U.S.A. |
|------|-----------|-------|----|-----|-------|---------|--------|

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

| DECLARATION                     |                         |                |    |             | ADDITIONAL INVENTOR(S)<br>Supplemental Sheet             |                 |        |  |
|---------------------------------|-------------------------|----------------|----|-------------|----------------------------------------------------------|-----------------|--------|--|
| Name of Sole or First Inventor: |                         |                |    |             | [ ] A petition has been filed for this unsigned inventor |                 |        |  |
| Given Name                      | Edwin                   | Middle Initial | J. | Family Name | Hlavka                                                   | Suffix e.g. Jr. |        |  |
| Inventor's Signature            |                         |                |    |             | Date                                                     | 11/29/98        |        |  |
| Residence: City                 | Palo Alto               | State          | CA | Country     | U.S.A.                                                   | Citizenship     | U.S.A. |  |
| Mailing Address                 | 4274 Wilkie Way, Apt. K |                |    |             |                                                          |                 |        |  |
| Mailing Address                 |                         |                |    |             |                                                          |                 |        |  |
| City                            | Palo Alto               | State          | CA | Zip         | 94306                                                    | Country         | U.S.A. |  |

|                                            |                |                |    |             |                                                          |                 |        |
|--------------------------------------------|----------------|----------------|----|-------------|----------------------------------------------------------|-----------------|--------|
| Name of Additional Joint Inventor, if any: |                |                |    |             | [ ] A petition has been filed for this unsigned inventor |                 |        |
| Given Name                                 | John           | Middle Initial | P. | Family Name | Lunsford                                                 | Suffix e.g. Jr. |        |
| Inventor's Signature                       |                |                |    |             | Date                                                     | Nov. 23, 1998   |        |
| Residence: City                            | San Carlos     | State          | CA | Country     | U.S.A.                                                   | Citizenship     | U.S.A. |
| Mailing Address                            | 123 Leslie Dr. |                |    |             |                                                          |                 |        |
| Mailing Address                            |                |                |    |             |                                                          |                 |        |
| City                                       | San Carlos     | State          | CA | Zip         | 94070                                                    | Country         | U.S.A. |

|                                                                                   |                     |                |    |             |                                                          |                 |        |
|-----------------------------------------------------------------------------------|---------------------|----------------|----|-------------|----------------------------------------------------------|-----------------|--------|
| Name of Additional Joint Inventor, if any:                                        |                     |                |    |             | [ ] A petition has been filed for this unsigned inventor |                 |        |
| Given Name                                                                        | Jeffrey             | Middle Initial | W. | Family Name | Baxter                                                   | Suffix e.g. Jr. |        |
| Inventor's Signature                                                              |                     |                |    |             | Date                                                     | 23 Nov 98       |        |
| Residence: City                                                                   | San Jose            | State          | CA | Country     | U.S.A.                                                   | Citizenship     | U.S.A. |
| Mailing Address                                                                   |                     |                |    |             |                                                          |                 |        |
| Mailing Address                                                                   | 4731 Fordwell Drive |                |    |             |                                                          |                 |        |
| City                                                                              | San Jose            | State          | CA | Zip         | 95118                                                    | Country         | U.S.A. |
| [ ] Additional inventors are being named on supplemental sheet(s) attached hereto |                     |                |    |             |                                                          |                 |        |